

Risk Assessment for Local Air Pollution Control

Name of permitted activity: Mick George Haulage PG Note: PG3/16
 Discussed with: Mick P LA Reference: B04/96
 Inspector's Name: Dave Bass Date: 10/11/2009

Operator Performance Appraisal

| Component 1 - Compliance Assessment | | | | |
|---|-------------------|----|-----|----------------|
| Scale of Non-Compliance | Possible Scores | | | Scores Awarded |
| (A) Incident leading to justified complaint but no breach of specific permit condition or of general/residual BAT condition | 0 points | | | |
| (B) Incident leading to a justified complaint* | 5 per incident | | | |
| (C) Breach of permit not leading to formal action | 10 per incident | | | |
| (D) Incident leading to formal caution, Enforcement Notice or prosecution | 15 per incident | | | |
| (E) Incident leading to a Prohibition Notice | 20 per incident | | | |
| Total | (Max. 50) | | | 0 |
| * Unjustified complaints may be e.g. those considered by the inspector to be unreasonable or which cannot be clearly linked to an incident at the process. | | | | |
| Where facility has been on Reduced Charge due to Mothballing or Reduced Operating Levels | | | | |
| (f) Failure to notify the regulator or restart or increase in level of operation to above the threshold requiring a permit at the installation in accordance with the acceptance letter | 25 | | | |
| Total (applies only when condition F has been breached) | (Max 75) | | | |
| Scoring for Component 2 - Assessment of Monitoring, Maintenance and Records | | | | |
| Criterion | Possible Scores | | | Scores Awarded |
| | Yes | No | N/A | |
| (A) Are emissions monitored as required in the permit? Are emissions and emissions monitoring recorded as required in the permit? | 0 | 40 | 0 | 0 |
| (B) Monitoring requirements reduced because results over time show consistent compliance? | 0 | 0 | 0 | 0 |
| (C) Is an appropriate maintenance schedule in place and available on request? | 0 | 5 | 0 | 0 |
| (D) Fully documented and adhered to maintenance programme, in line with permit? | 0 | 5 | 0 | 0 |
| (E) Full documented records as required in permit available on-site? | 0 | 5 | 0 | 0 |
| (F) Has the operator notified the regulator promptly of all relocations of all plant? | -5 | 40 | 0 | -5 |
| Total score | (-5 to 35) | | | -5 |

| Component 3 - Assessment of Management, Training and Responsibility | | | | |
|---|-------------------------------|-----------|------------|-----------------------|
| Criterion | Possible Scores | | | Scores Awarded |
| | Yes | No | N/A | |
| (A) Are procedures in place to ensure proper management, supervision and training for process operations, proper use of equipment and effective preventative maintenance on all plant and equipment concerned with the control of emissions to air? | 0 | 5 | 0 | 0 |
| (B) Specific responsibilities assigned to individual staff for these procedures? | 0 | 5 | 0 | 0 |
| (C) Does the operator maintain, and make available on request, a statement of training requirements for each operational post? | 0 | 5 | 0 | 0 |
| (D) Are all staff with responsibility for operating the process sufficiently trained to be aware of their responsibilities under the permit, minimising emissions on start up and shut down and taking action to minimise emissions during abnormal conditions? | 0 | 5 | 0 | 0 |
| (E) Trained staff on site throughout periods where potentially air-polluting activities take place? | 0 | 5 | 0 | 0 |
| (F) Is an 'appropriate' environmental management system in place? | -5 | 0 | 0 | -5 |
| Total | (-5 to 25) | | | -5 |
| Total Score for Operator Performance Appraisal | Range -10 to 110 (135) | | | -10 |

| Overall scores | Score given |
|---|--------------------|
| <i>Operator Performance Appraisal</i> | |
| Compliance Assessment | 0 |
| Assessment of Monitoring, Maintenance and Records | -5 |
| Assessment of Management, Training and Responsibility | -5 |
| Total score | -10 |

| | | |
|---|------------------------|------------|
| OVERALL SCORE FOR THE PROECSS | Range -10 to 110 (135) | -10 |
| REGULATORY EFFORT CATEGORY | | |
| * high=score of >55, medium 30-55 and low <30 | LOW, MED, HIGH | Low |

Comments

Basic maintenance undertaken by operator and full service done after manufacturer approved

hours of operation. Local emission checks undertaken on a daily basis and plant has dust

suppression equipment if required. All personnel are trained with records kept. Company has an

EMS system.